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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Vlad J. Novotny and Yee-Chung Fu

FEB 15 2002

Assignee: Active Optical Networks, Inc.

TECHNOLOGY CENTER 2000

Title: "MULTI-AXIS MICRO-ELECTRO-MECHANICAL ACTUATOR"

Serial No.: 10/032,198

Filed: December 20, 2001

Examiner:

Tel:

Docket No.: AO-001

Art Unit:

Box DD
Commissioner For Patents
Washington, D.C. 20231

January 25, 2002

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, §1.97 and §1.98, Applicants bring the 4 documents listed on the enclosed form PTO-1449 to the Examiner's attention in the above-captioned application. Citation of the listed documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant application;
2. a representation that a search has been made; or
3. an admission that the information cited is, or is considered to be, material to patentability as defined in §1.56(b).

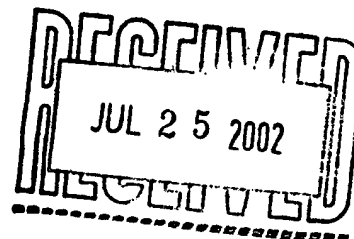
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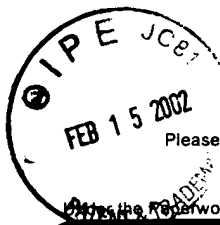
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Laurie Moreno

Respectfully submitted,

Arthur J. Behiel
Attorney for Applicants
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TRANSMITTAL FORM

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Application Number

10/032,198

Filing Date

December 20, 2001

First Named Inventor

Vlad J. Novotny

Group Art Unit

Unknown

Examiner Name

Unknown

Total Number of Pages in This Submission

Attorney Docket Number

A0-001

ENCLOSURES (check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

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Amendment / Reply

☐

After Final

☐

Affidavits/declaration(s)

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Extension of Time Request

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Express Abandonment Request

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Information Disclosure Statement*

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Certified Copy of Priority Document(s)

☐

Response to Missing Parts/
Incomplete Application

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Response to Missing Parts
under 37 CFR 1.52 or 1.53

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Assignment Papers
(for an Application)

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Drawing(s)

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Licensing-related Papers

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Petition

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Petition to Convert to a
Provisional Application

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Power of Attorney, Revocation
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Terminal Disclaimer

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After Allowance Communication
to Group

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Appeal Communication to Board
of Appeals and Interferences

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Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

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Proprietary Information

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Status Letter

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Other Enclosure(s) (please
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Remarks

*In addition to the 1 page IDS,
Applicants include:

1 page PTO-1449 Citing 4 documents

1 Copy of each cited document

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or
Individual name

Arthur J. Behiel

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Arthur J. Behiel

Date

January 25, 2002

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